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**O I P E J C E S**  
**MAR 24 2003**  
**PATENT & TRADEMARK OFFICE**

<b>TRANSMITTAL FORM</b> <i>to be used for all correspondence after initial filing)</i>	Application Number	09/328,939	
	Filing Date	June 9, 1999	
	First Named Inventor	Fujimura, Shuzo	
	Art Unit	1765	
	Examiner Name	Shamim Ahmed	
Total Number of Pages in This Submission	17	Attorney Docket Number	018867-000410US

**ENCLOSURES (Check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form (duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard (1 page) Petition to Revive Unavoidably Abandoned Application (2 pages) Copy of Amendment mailed 10/10/02 (11 pages) Request for Continued Examination (1 pg)
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

**RECEIVED**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual	Townsend and Townsend and Crew LLP	Reg. No. 37,692	<b>MAR 26 2003</b>
Signature	<i>Richard T. Ogawa</i>		<b>OFFICE OF PETITIONS</b>
Date	<i>3/17/03</i>		

**CERTIFICATE OF TRANSMISSION/MAILING**

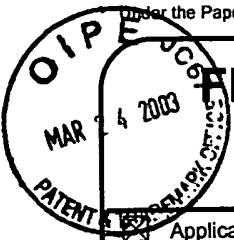
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 3/17/03

Typed or printed	<i>Diane Elzingre</i>	Date	<i>3/17/03</i>
Signature	<i>Diane Elzingre</i>		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.**

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# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1025

Complete if Known

Application Number	09/328,939
Filing Date	June 9, 1999
First Named Inventor	Fujimura, Shuzo
Examiner Name	Shamim Ahmed
Group Art Unit	1765
Attorney Docket No.	018867-000410US

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MAR 26 2003

OFFICE OF PETITIONS

METHOD OF PAYMENT (check all that apply)

Check  Credit Card  MoneyOrder  Other  None

Deposit Account:

Deposit Account Number

20-1430

Deposit Account Name

Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) \_\_\_\_\_

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fees from below	Fee Paid
Total Claims	__	__	__
Independent Claims	__	__	__
Multiple Dependent	__	__	__

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) \_\_\_\_\_

\*or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	650
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	375
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)1025

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Richard T. Ogawa	Registration No. (Attorney/Agent)	37,692	Telephone	650-326-2400
Signature				Date	3/17/03

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PATENT APPLICATION  
FILING ACKNOWLEDGMENT

Mail Date: 10-10-02 Atty: RTO/ad  
Atty Dkt: 18867-000410US  
Application No. 09/328,939  
Inventor(s): Shuzo Fujimura, et al.  
Title: SURFACE TREATMENT METHOD AND EQUIPMENT  
Fee(if any) \$55.00  
1. Transmittal (1PG)  
2. Amendment (7 pages)  
3. Petition to Extend Time (1mo)(1pg);  
4. Fee Transmittal (1pg)  
5. Cited Reference (1 document);  
6. Dialog Search Results (1document), and  
7. Return Postcard

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PA 3255109 v1

PATENT APPLICATION  
FILING ACKNOWLEDGMENT

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Atty Dkt: 18867-000410US  
Application No. 09/328,939  
Inventor(s): Shuzo Fujimura, et al.  
Title: SURFACE TREATMENT METHOD AND EQUIPMENT  
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PA 3255109 v1

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